

# UNITED STATES PATENT AND TRADEMARK OFFICE \*

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sca 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/520,123	03/07/2000	DIETMAR DETERING	21164	9814
535	7590 07/31/2003			
THE FIRM OF KARL F ROSS			EXAMINER	
5676 RIVERDALE AVENUE PO BOX 900 RIVERDALE (BRONX), NY 10471-0900			POINVIL, FRANTZY	
		-0900	ART UNIT	PAPER NUMBER
			3628	
			DATE MAILED: 07/31/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	09/520,123	DETERING, DIETMAR				
Office Action Summary	Examiner	Art Unit				
	Frantzy Poinvil	3628				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	36(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) day fill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 23 A	<u>pril 2003</u> .					
2a) This action is <b>FINAL</b> . 2b) ⊠ Thi	is action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims  A) Claim(a) 27 22 in/ore pending in the application	_					
<ul> <li>4) Claim(s) 27-32 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> </ul>						
5) Claim(s) is/are allowed.						
6)  Claim(s) <u>27-32</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers	4					
9)☐ The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) The proposed drawing correction filed on is: a) □ approved b) □ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documents have been received.						
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.						
Attachment(s)	, , , , , , , , , , , , , , , , , , ,					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)						

Application/Control Number: 09/520,123 Page 2

Art Unit: 3628

### **DETAILED ACTION**

## **Continued Prosecution Application**

1. The request filed on 4/23/03 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 60/125,027 is acceptable and a CPA has been established. An action on the CPA follows.

#### Abstract

Applicant is reminded of the proper language and format for an abstract of the disclosure. 2.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

The abstract of the disclosure is objected to because it contains legal phraseology such as "the invention". Correction is required. See MPEP § 608.01(b).

## Objection

- The Bibliography page, namely page 31 should be removed from the specification, as the 3. noted references should be submitted in the Information Disclosure Statement (IDS), PTO form 1449.
- Claims 27-32 are objected to because of the following informalities: 4.

Application/Control Number: 09/520,123 Page 3

Art Unit: 3628

As per claim 27, line 6, the Examiner suggests replacing "use" with -used-for grammatical purposes. On line 9, "consumers" is advised to be changed to --consumer records- for clarity purposes. On line 11, the Examiner also suggests replacing "use" with --used-- for grammatical purposes. On line 22, the applicant is advised to remove the extraneous matter.

One line 25, the Examiner suggests replacing "use" with -used -- for grammatical purposes. On line 28, the Examiner suggests changing "the whole group" to -- said group--. On line 30, the Examiner suggests replacing "use" with --used-- for grammatical purposes.

As per claims 28-32, the Examiner suggests replacing "use" with -used-for grammatical purposes or for proper antecedent purposes wherever deemed applicable

Appropriate correction is required.

## Claim Rejections - 35 USC § 112

5. Claims 27-32 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As per claim 27, line 6, "the marginal costs" lacks clear antecedent basis. On line 22, "the pivotal voter" lacks clear antecedent basis. On line 20 it is unclear as to what the outcome would swing to. On line 32, it is unclear of what is being excluded. Thus, the phrase "excluding same" is unclear. On line 33, "use rights" should be changed to -used rights - -. On lines 29-30, "the sample's revealed willingness to pay" lacks clear antecedent basis.

As per claim 28, "the supplier" lacks clear antecedent basis. One line 4, the phrase "her good" renders the claims vague and indefinite.

Application/Control Number: 09/520,123 Page 4

Art Unit: 3628

As per claim 29, line 2, the Examiner suggests changing "consumers" to - -consumer records- - for clarity purposes.

As per claim 30, lines 1-2, "the voting process" lacks clear antecedent basis. One lines 2-3, "the suppliers" lack clear antecedent basis.

## Allowable Subject Matter

6. Claims 27-32 are allowable over the art of record.

The prior art taken alone or in combination failed to teach or suggest the steps of causing said sample to vote on said offered use rights by members of said sample stating their willingness to pay for either accepting or rejecting said offer and collecting any pivotal payments from any member of said voting sample whose stated willingness to pay for a certain outcome alone was sufficient to swing the outcome from one undesirable to him or her, said pivotal payment comprising a margin between all pro and contra votes while either in or excluding the vote of the pivotal voter under consideration as recited in independent claim 27.

### Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frantzy Poinvil whose telephone number is (703) 305-9779. The examiner can normally be reached on Monday-Thursday 7:00AM-5:30PM.

Application/Control Number: 09/520,123

Art Unit: 3628

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sam Sough can be reached on (703) 308-0505. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

FP

Monday, July 21, 2003

FRANTZY POINVIL PRIMARY EXAMINER

Page 5

Au 3828